

08-10-01



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**BOX PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

10/60/80  
U.S. PTO  
09/905293

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**FILED UNDER 37 C.F.R. §1.53(b)**

Sir:

Transmitted herewith for filing is the patent application of:

Inventor(s):

**Robert HORNUNG** whose address is: **25 Westway Road, Southport, CT 06490**  
and whose citizenship is: **United States**

**John S. FRANCE** whose address is: **633 Ridgeline Drive, Cuyahoga Falls, Ohio 44223**  
and whose citizenship is: **United States**

Title: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

**APPLICATION ELEMENTS:**

(1)  Patent Application Specification, including Abstract and claims - 44 pages  
(2)  Seventeen (17) sheet(s) of formal drawings, together with transmittal letter  
(3)  A check in the amount of \$ \_\_\_\_\_ to cover the [ ] filing fee(\$ \_\_\_\_\_.00 ) and/or [ ] Assignment Recordal Fee (\$40) is enclosed.

[ ] Before calculating the fee, cancel claim(s)  
[ ] Before calculating the fee, see copy of Preliminary Amendment filed in parent application \_\_\_\_\_ (attached hereto.)

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IND-109.1  
August 9, 2001  
Page 2

Basic Fee							\$ 355.00
Multiple Dependent Claims (\$260.)							
Foreign Language Surcharge (\$130.)							
	For	No. Filed	-	No. Extra		Rate	
EXTRA	Total Claims	42	20	22	x	\$9.	= \$ 198.00
CLAIMS	Independent Claims	1	3	0	x	\$40.	= \$ .00
<b>TOTAL FILING FEE</b>							= \$ 553.00

(4) [ ] Declaration and Power of Attorney form -- 3 pages  
a. [ ] Newly executed  
b. [ ] Copy from a prior application  
c. [ ] Deletion of inventor(s) – signed statement attached deleting inventor(s) named in the prior application.

(5) [X] Applicant claims Small Entity status

**ACCOMPANYING APPLICATION PARTS:**

(6) [ ] Assignment document  
a. [ ] Newly executed (with \$40.00 recordal fee) and separate transmittal Form PTO-1595  
b. [ ] Copy from a prior application  
c. [ ] Please include assignee information when this application is published  
**Assignee:**

(7) [ ] Preliminary Amendment

(8) [ ] Certified Copy of Priority Document, together with separate transmittal letter

(9) [ ] Information Disclosure Statement, together with PTO Form 1449 and copies of cited references

(10) [X] Return receipt postage prepaid postcard

(11) [X] Express Mail Certificate (Mailing Label No. EL 827616711 US)

(12) [X] Patent Application Data Entry Form

(13) [ ] Other:

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(14) [X] If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application no.: 09/882,295 filed June 15, 2001

Prior application information:

Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

Status: Pending

FOR CONTINUATION or DIVISIONAL APPLICATIONS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under paragraph 4(b) above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

(15) [ ] Please amend the specification by inserting before the first line the sentence:

(16) [ ] This application claims the benefit of \_\_\_\_\_ Patent Application No. \_\_\_\_\_ filed on \_\_\_\_\_.

(17) [X] Correspondence address:

Barry R. Lipsitz  
Law Offices of Barry R. Lipsitz  
755 Main Street, Building 8  
Monroe, CT 06468  
Telephone: (203) 459-0200

(18) [X] Pursuant to the provisions of 37 C.F.R. Sections 1.10 and 1.53, Applicants respectfully request that this application be assigned a serial number and a filing date of August 9, 2001, the date upon which the application was mailed to the Patent and Trademark Office by Express Mail (No. EL 827616711 US).

Respectfully submitted,

Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

ATTORNEY DOCKET NO.: IND-109.1  
Date: August 9, 2001

08/09/01  
JC853 U.S. PTO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Hornung et al. )  
Filed: Herewith )  
For: )

**METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

**BOX PATENT APPLICATION**  
**Commissioner for Patents**  
**Washington, D.C. 20231**

**EXPRESS MAIL CERTIFICATE**

"Express Mail" mailing label number: El 827616711 US  
Date of Deposit: August 9, 2001

I hereby certify that the attached:

- Return receipt postage prepaid postcard;
- Transmittal letter for new patent application;
- Patent Application Data Entry Form;
- Patent Application Specification including Abstract and Claims (44 pages);
- Seventeen (17) sheet(s) of formal drawings, together with transmittal letter.

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and 1.53 on the date indicated above and is addressed to: **BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231**

Michele Hollis  
(Typed or printed name of person mailing paper or fee)

*Michele Hollis*  
(Signature of person mailing paper or fee)

Respectfully submitted,

*B.L.*  
Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Bldg. 8  
Monroe, CT 06468  
(203) 459-0200

Date: August 9, 2001  
ATTORNEY DOCKET NO.: IND-109.1

P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Hornung et al. )  
Application No.: )  
Filed: Herewith )  
)

For: **METHOD FOR FABRICATING AN INTEGRATED MULTIPANE WINDOW SASH**

**DRAWING REVIEW BRANCH**

Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail (No. EL 827616711 US) addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231 on August 9, 2001.

By: Michele Hollis  
Michele Hollis

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

Enclosed are seventeen (17) sheets of formal drawings for filing in the above-referenced patent application.

Please advise the undersigned attorney if correction is necessary.

Respectfully submitted,



Barry R. Lipsitz  
Attorney for Applicant(s)  
Registration No. 28,637  
755 Main Street, Building 8  
Monroe, CT 06468  
(203) 459-0200

Date: August 9, 2001  
**ATTORNEY DOCKET NO.: IND-109.1**